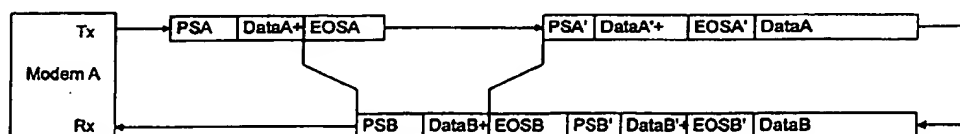


PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04B 1/38	A2	(11) International Publication Number: WO 99/31813 (43) International Publication Date: 24 June 1999 (24.06.99)
(21) International Application Number: PCT/US98/26763 (22) International Filing Date: 16 December 1998 (16.12.98) (30) Priority Data: 08/991,313 16 December 1997 (16.12.97) US (71) Applicant: 3COM CORPORATION [US/US]; 5400 Bayfront Plaza, Santa Clara, CA 95052 (US). (72) Inventors: WILLIAMS, Richard, G., C.; 12939 Brome Way, San Diego, CA 92129 (US). ROSENLOF, John; 4233 Woodland Drive, La Mesa, CA 91941 (US). (74) Agent: LAND, John; Fish & Richardson P.C., Suite 1400, 4225 Executive Square, La Jolla, CA 92037 (US).		(81) Designated States: AU, CA, IL, JP, KR, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>Without international search report and to be republished upon receipt of that report.</i>

(54) Title: SYSTEM AND METHOD FOR USER INFORMATION TRANSFER BEFORE MODEM CONNECTION**(57) Abstract**

A system and method for user information transfer before modem connection. The invention makes high-speed modems more efficient, particularly for transaction and Internet applications, by providing for transmission of pre-connection user data during modem training. A training sequence for a modem in accordance with the invention may be summarized as follows: beginning training of the modem at a first data rate using a defined training sequence; after a selected amount of training, transmitting pre-connection user data from the modem at up to the first data rate while continuing training of the modem; ending training; and enabling transmission of user data at a full connected data rate of the modem. The invention may also include the following training sequence before enabling transmission of user data at the full connected data rate of the modem: beginning secondary training of the modem at a second data rate using a defined training sequence; after a selected amount of secondary training, transmitting pre-connection user data from the modem at up to the second data rate while continuing secondary training of the modem; and ending secondary training.

AL

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

SYSTEM AND METHOD FOR USER INFORMATION TRANSFER BEFORE MODEM CONNECTION

TECHNICAL FIELD

This invention relates to data communications, and more particularly to data communications
5 using modem devices requiring training prior to data transmission.

BACKGROUND

Data communications often involves the use of modulator/demodulators (modems) or
modem-like devices (*e.g.*, Digital Subscriber Line devices, 56 kbps digital "modems", *etc.*) to
10 communicate over a network system having at least one network link that uses an analog signaling
scheme. For example, as shown in FIG. 1, two computers 1, 2 may each have a modem link 3, 4
(land-line or wireless) to the public switched telephone network 5. Generally, at least part of the
virtual circuit connecting the two computers 1, 2 will be analog in nature.

A characteristic of analog links is that various components in the link, such as 2-wire to 4-
15 wire hybrids, can cause echos in the link. Further, variations in analog component values can change
operating characteristics of the link. Accordingly, under many current standards, such as the ITU
V.34 standard defining a 33,600 kbps data signaling rate, modems conforming to the standard
perform various training routines to set equalization and echo canceling parameters before
commencing data transmission. For example, FIG. 2 shows a bi-directional signaling diagram of one
20 type of routine, in which modem A is conducting identification and line probing routines with a
second modem B (not shown). Initially, modem A transmits a known training sequence, PSA, to
modem B for a preset, minimum time period (for example, about 0.15 secs.). Using the PSA
sequence, modem A trains its echo canceling circuitry while modem B trains its equalizer circuitry,
in known fashion. After the PSA sequence is transmitted for no more than a preset, maximum time
25 period, modem A transmits an end-of-sequence marker, EOSA.

After receiving the EOSA marker, modem B transmits a known training sequence, PSB, to
modem A for a preset, minimum time period (for example, about 0.15 secs.). Using the PSB

- 2 -

sequence, modem B trains its echo canceling circuitry while modem A trains its equalizer circuitry, in known fashion. After the PSB sequence is transmitted for no more than a preset, maximum time period, modem B transmits an end-of-sequence marker, EOSB.

Under the V.34 standard, this initial round of training is at a relatively low rate, typically
5 about 6,000 bps. A second round of training at a low-to-mid rate (*e.g.*, about 12,000 bps) then takes place, in similar fashion. That is, after receiving the EOSB marker, modem A transmits a known training sequence, PSA', to modem B for a preset, minimum time period (for example, about 0.15 secs.). Using the PSA' sequence, modem A trains its echo canceling circuitry for a higher data rate while modem B trains its equalizer circuitry, in known fashion. After the PSA' sequence is
10 transmitted for no more than a preset, maximum time period, modem A transmits an end-of-sequence marker, EOSA'. Modem A is then fully trained, and may begin to transmit user data, DataA, at the full connected modem rate. Meanwhile, modem B performs this second round of training concurrently with training for modem A. That is, essentially immediately after the EOSB marker, modem B transmits a known training sequence, PSB', to modem A for a preset, minimum
15 time period (for example, about 0.15 secs.). Using the PSB' sequence, modem B trains its echo canceling circuitry for a higher data rate while modem A trains its equalizer circuitry, in known fashion. After the PSB' sequence is transmitted for no more than a preset, maximum time period, modem B transmits an end-of-sequence marker, EOSB'. Modem B is then fully trained, and may begin to transmit user data, DataB, at the full connected modem rate.

20 Such standard training may take from 5 to 15 seconds for high-speed (*e.g.*, 14,400 bps or faster) modems before user data can be transmitted or received by either modem. Such a delay is undesirable for "transaction modems", which are typically used at point-of-sale (POS) terminals to conduct such transactions as check verification, credit card validation, *etc.* Slower modems (*e.g.*, 300-1,200 bps) having faster training times have often been used as transaction modems, and can
25 be satisfactory if transactions always involve a small amount of data (*e.g.*, ten's of bytes of data, sufficient, for example, for validating a credit card number). However, such modems are undesirable if a substantial amount of data must be transmitted more than occasionally. Thus, more and more

- 3 -

frequently, high-speed modems are being used for transaction applications. Similarly, such training times for standard modems adversely impacts the response time of modem access to the Internet.

One way of shortening the training time of high-speed modems is to only train for the minimum time allowed by a data signaling standard. However, this approach results in a lower data rate at the end of the training period than if training had been performed for a greater time period.

Thus, the inventors have determined that it would be desirable to make high-speed modems more efficient, particularly for transaction and Internet applications.

SUMMARY

The invention includes a system and method for modem information transfer before connection. The invention makes high-speed modems more efficient, particularly for transaction and Internet applications, by providing for transmission of pre-connection user data during modem training. A training sequence for a modem in accordance with the invention may be summarized as follows:

- i. beginning training of the modem at a first data rate using a defined training sequence;
- ii. after a selected amount of training, transmitting pre-connection user data from the modem at up to the first data rate while continuing training of the modem;
- iii. ending training;
- iv. enabling transmission of user data at a full connected data rate of the modem.

The invention may also include the following training sequence before enabling transmission of user data at the full connected data rate of the modem:

- 4 -

- v. beginning secondary training of the modem at a second data rate using a defined training sequence;
- vi. after a selected amount of secondary training, transmitting pre-connection user data from the modem at up to the second data rate while continuing secondary training of the modem;
- vii. ending secondary training.

In a typical implementation complying with the ITU V.34 standard, the time available for each modem to transmit pre-connection user data during training is about 1.6 secs. at about 6,000 bps in the initial training sequence, and about 1.8 secs. at about 12,000 bps in the secondary training sequence. Thus, a total of more than about 9,000 bits, and generally more than about 20,000 bits, and possibly more than about 31,000 bits, can be sent in each direction during the training cycles. This amount of data would often be adequate to complete many transactions or exchanges of Internet protocol setup information. Thus, the invention would allow a high speed modem to have response times comparable to lower speed modems while having the ability to communicate at much higher speeds for larger amounts of data.

The details of one or more embodiments of the invention are set forth in the accompanying drawings and the description below. Other features, objects, and advantages of the invention will be apparent from the description and drawings, and from the claims.

DESCRIPTION OF DRAWINGS

FIG. 1 is a block diagram of two computers connected by a modem to the public switched telephone network, in accordance with the prior art.

FIG. 2 shows a bi-directional signaling diagram of modem training routines, in accordance with the prior art.

FIG. 3 shows a bi-directional signaling diagram of modem training and data transfer routines in accordance with the invention.

- 5 -

Like reference numbers and designations in the various drawings indicate like elements.

DETAILED DESCRIPTION

FIG. 3 shows a bi-directional signaling diagram of modem training and data transfer routines in accordance with the invention. Initially, modem A transmits a known training sequence, PSA, to modem B (not shown) for a preset, minimum time period (for example, about 0.15 secs.). Almost always such training sequences are pseudo-random. Using the PSA sequence, modem A trains its echo canceling circuitry while modem B trains its equalizer circuitry, in known fashion. The PSA sequence is transmitted a relatively low rate, typically about 6,000 bps for the V.34 standard.

10 In contrast to the prior art, after the PSA sequence has been transmitted for a sufficient time to permit some training to occur in both modems, modem A transmits "pre-connection" user data, DataA+, to modem B. The pre-connection user data preferably is marked with a synchronization prefix, such as a prescribed sequence of binary 0's, to positively indicate the beginning of the pre-connection user data. In order to permit modem A to continue to train its echo canceling circuitry and modem B to continue to train its equalizer circuitry using defined training bit sequences, the pre-connection user data preferably is first scrambled to appear to be pseudo-random, in known fashion. The receiving modem unscrambles the pre-connection user data, in known fashion. The DataA+ pre-connection user data is preferably sent at the same rate as the PSA sequence, but may be sent at a lower effective rate.

20 During at least some early portion of training, the modem needs to know the exact signal transmitted. However, after a certain amount of training – normally less than the minimum duration set by most standards – a training modem knows the points that are being used sufficiently well to no longer need to know the sequence that is being sent. Hence, the modem may change from data-directed training to decision-directed training. Thus, the modem can use training points both to train and to transmit or receive pre-connection user data. To optimize such training, the preferred embodiment of the invention uses scrambled, pseudo-random pre-connection user data. By using scrambled, pseudo-random pre-connection data, training continues as in the prior art, but without

- 6 -

a resulting lower data rate as in the case of simply shortening training to the minimum time allowed by a data signaling standard.

At the point in time where a full PSA sequence should terminate (*i.e.*, when modem A has sufficiently trained its echo cancellation and before a preset, maximum time period), modem A
5 transmits an end-of-sequence marker, EOSA. It may be desirable to positively indicate the end of the pre-connection user data before the EOSA marker, such as by sending a sequence of binary 1's between the DataA+ and the EOSA marker.

After receiving the EOSA marker, modem B transmits a known training sequence, PSB, to modem A for a preset, minimum time period (for example, about 0.15 secs.). Using the PSB
10 sequence, modem B trains its echo canceling circuitry while modem A trains its equalizer circuitry, in known fashion. After the PSB sequence has been transmitted for a sufficient time to permit some training to occur in both modems, modem B transmits pre-connection user data, DataB+, to modem A. The pre-connection user data preferably is marked with a synchronization prefix to positively indicate the beginning of the pre-connection data. Again, the pre-connection user data preferably
15 is first scrambled to appear to be pseudo-random. At the point in time where a full PSB sequence should terminate (*i.e.*, when modem B has sufficiently trained its echo cancellation and before a preset, maximum time period), modem B transmits an end-of-sequence marker, EOSB. The DataB+ pre-connection user data is preferably sent at the same rate as the PSB sequence, but may be sent at a lower effective rate.

20 As in the prior art, a secondary cycle of training at a low-to-mid rate (*e.g.*, about 12,000 bps) may then take place, in similar fashion. That is, after receiving the EOSB marker, modem A transmits a known training sequence, PSA', to modem B for a preset, minimum time period (for example, about 0.15 secs.). Using the PSA' sequence, modem A trains its echo canceling circuitry for a higher data rate while modem B trains its equalizer circuitry, in known fashion. After the PSA'
25 sequence has been transmitted for a sufficient time to permit some training at the higher rate to occur in both modems, modem A again transmits pre-connection user data, DataA'+, to modem B. The pre-connection user data preferably is marked with a synchronization prefix to positively indicate the beginning of the pre-connection data. Again, the pre-connection user data preferably is first

- 7 -

scrambled to appear to be pseudo-random. At the point in time where a full PSA' sequence should terminate (*i.e.*, when modem A has sufficiently trained its echo cancellation and before a preset, maximum time period), modem A transmits an end-of-sequence marker, EOSA'. Modem A is then fully trained, and may transmit user data, DataA, at the full modem rate (*i.e.*, the modems are
5 "connected"). The DataA'+ pre-connection user data is preferably sent at the same rate as the PSA' sequence, but may be sent at a lower effective rate.

Meanwhile, modem B performs this second round of training concurrently with training for modem A. That is, essentially immediately after the EOSB marker, modem B transmits a known training sequence, PSB', to modem A for a preset, minimum time period (for example, about 0.15
10 secs.). Using the PSB' sequence, modem B trains its echo canceling circuitry for a higher data rate while modem A trains its equalizer circuitry, in known fashion. After the PSB' sequence has been transmitted for a sufficient time to permit some training at the higher rate to occur in both modems, modem B again transmits pre-connection user data, DataB'+, to modem A. The pre-connection user data preferably is marked with a synchronization prefix to positively indicate the beginning of the
15 pre-connection user data. Again, the pre-connection user data preferably is first scrambled to appear to be pseudo-random. At the point in time where a full PSB' sequence should terminate (*i.e.*, when modem B has sufficiently trained its echo cancellation and before a preset, maximum time period), modem B transmits an end-of-sequence marker, EOSB'. Modem B is then fully trained, and may transmit user data, DataB, at the full modem rate (*i.e.*, the modems are "connected"). The DataB'+
20 pre-connection user data is preferably sent at the same rate as the PSB' sequence, but may be sent at a lower effective rate.

In the preferred embodiment, both modems must be capable of exchanging pre-connection user data during the training cycle. The pre-connection user data exchanged during the training cycle can be pre-defined (*e.g.*, a fixed format) or can include bits that indicate the purpose of the data. The
25 pre-connection user data may be user data (*e.g.*, email messages or Internet browser preferences), or it may be optional system data used to negotiate higher level communication protocols that the user has requested (*e.g.*, an Internet protocol).

- 8 -

Generally, a signaling method is needed between each modem and a modem controller or user interface to enable pre-connection user data to flow outside of the physical modem link. One such method is to use conventional modem control signals, such as CD (carrier detect) and CTS (clear-to-send), to provide "out-of-channel" signaling and flow control. Other techniques, such as
5 known methods of "in-channel" signaling and flow control, may be used.

The training sequence for a modem in accordance with the invention may thus be summarized as follows:

- 10 i. beginning training of the modem at a first data rate using a defined training sequence;
- ii. after a selected amount of training, transmitting pre-connection user data from the modem at up to the first data rate while continuing training of the modem;
- iii. ending training;
- 15 iv. enabling transmission of user data at a full connected data rate of the modem.

The invention may also include the following training sequence before enabling transmission of user data at the full connected data rate of the modem:

- 20 v. beginning secondary training of the modem at a second data rate using a defined training sequence;
- vi. after a selected amount of secondary training, transmitting pre-connection user data from the modem at up to the second data rate while continuing secondary training of the modem;
- 25 vii. ending secondary training.

In a typical implementation complying with the ITU V.34 standard, the time available for each modem to transmit pre-connection user data during training is about 1.6 secs. at about 6,000

- 9 -

bps in the initial training sequence, and about 1.8 secs. at about 12,000 bps in the secondary training sequence. Thus, a total of more than about 9,000 bits, and generally more than about 20,000 bits, and possibly more than about 31,000 bits, can be sent in each direction during the training cycles. This amount of data would often be adequate to complete many transactions or exchanges of Internet protocol setup information. Thus, the invention would allow a high speed modem to have response times comparable to lower speed modems while having the ability to communicate at much higher speeds for larger amounts of data. The amount of information that may be transmitted between modems before connection may vary with the data rate of the training cycles.

The invention may be implemented in hardware or software, or a combination thereof. However, preferably, the invention is implemented in a conventional modem by means of a computer program executing on one or more programmable systems each comprising at least a processor, a data storage system (including volatile and non-volatile memory and/or other elements), at least one input device, and at least one output device. Program code is applied to the data to perform the functions described herein and generate output information. The output information is applied to one or more output devices, in known fashion. The processor may be, for example, a digital signal processor (DSP).

Each program is preferably implemented in a high level procedural or object oriented programming language to communicate with a computer system. However, the programs may be implemented in assembly or machine language, if desired. In any case, the language may be a compiled or interpreted language.

Each such computer program is preferably stored on a storage media or device (e.g., ROM or magnetic diskette) readable by a general or special purpose programmable computer, for configuring and operating the computer when the storage media or device is read by the computer to perform the procedures described herein. The inventive system may also be considered to be implemented as a computer-readable storage medium, configured with a computer program, where the storage medium so configured causes a computer to operate in a specific and predefined manner to perform the functions described herein.

- 10 -

A number of embodiments of the present invention have been described. Nevertheless, it will be understood that various modifications may be made without departing from the spirit and scope of the invention. For example, the data rates for initial and secondary training may vary from the rates described above. Further, the training may include link optimizations other than echo cancellation and equalization. As another example, the invention may be used with modem pairs that accommodate more than two training cycles, and/or pre-connection user data may be sent during only one of the training cycles. Accordingly, other embodiments are within the scope of the following claims.

- 11 -

WHAT IS CLAIMED IS:

1. A method for providing modem user data transfer before connection, comprising the steps of:
 - (a) beginning training of a modem at a first data rate using a defined training sequence;
 - 5 (b) after a selected amount of training, transmitting pre-connection user data from the modem at up to the first data rate while continuing training of the modem;
 - (c) ending training;
 - (d) enabling transmission of user data at essentially a full connected data rate of the modem.
- 10 2. The method of claim 1, further including, before the step of enabling transmission of user data at the full connected data rate of the modem, the steps of:
 - (a) beginning secondary training of the modem at a second data rate using a pseudo-random sequence;
 - (b) after a selected amount of secondary training, transmitting pre-connection user data from
15 the modem at up to the second data rate while continuing secondary training of the modem;
 - (c) ending secondary training.
3. The method of claims 1 or 2, wherein training includes echo cancellation training.
- 20 4. The method of claims 1 or 2, wherein training includes equalization training.
5. The method of claims 1 or 2, wherein the pre-connection user data is preceded by a synchronization prefix.
- 25 6. The method of claims 1 or 2, wherein the pre-connection user data is succeeded by a positive indicator.

- 12 -

7. The method of claims 1 or 2, wherein the pre-connection user data is pseudo-randomized before transmitting.

8. The method of claim 1, wherein the first data rate is about 6,000 bps.

5

9. The method of claim 2, wherein the second data rate is about 12,000 bps.

10. A system for providing modem user data transfer before connection, comprising:

(a) means for initiating training of a modem at a first data rate using a defined training
10 sequence;

(b) means for transmitting pre-connection user data from the modem at up to the first data rate
after a selected amount of training while continuing training of the modem;

(c) means for ending training;

(d) means for enabling transmission of user data at essentially a full connected data rate of the
15 modem.

11. The system of claim 10, further including:

(a) means for beginning secondary training of the modem at a second data rate using a defined
training sequence before enabling transmission of user data at the full connected data rate
20 of the modem;

(b) means for transmitting pre-connection user data from the modem at up to the second data
rate after a selected amount of secondary training while continuing secondary training of
the modem;

(c) means for ending secondary training.

25

12. The system of claims 10 or 11, wherein training includes echo cancellation training.

13. The system of claims 10 or 11, wherein training includes equalization training.

- 13 -

14. The system of claims 10 or 11, wherein the pre-connection user data is preceded by a synchronization prefix.
15. The system of claims 10 or 11, wherein the pre-connection user data is succeeded by a positive
5 indicator.
16. The system of claims 10 or 11, wherein the pre-connection user data is pseudo-randomized before transmitting.
- 10 17. The system of claim 10, wherein the first data rate is about 6,000 bps.
18. The system of claim 11, wherein the second data rate is about 12,000 bps.
19. A computer program, residing on a computer-readable medium, for providing modem user data
15 transfer before connection, comprising instructions for causing a computer to:
- (a) begin training of a modem at a first data rate using a defined training sequence;
 - (b) after a selected amount of training, transmit pre-connection user data from the modem at up to the first data rate while continuing training of the modem;
 - (c) end training;

20 (d) enable transmission of user data at essentially a full connected data rate of the modem.

- 14 -

20. The computer program of claim 19, further including instructions for causing a computer, before the function of enabling transmission of user data at the full connected data rate of the modem, to:
- (a) begin secondary training of the modem at a second data rate using a defined training sequence;
 - (b) after a selected amount of secondary training, transmit pre-connection user data from the modem at up to the second data rate while continuing secondary training of the modem;
 - (c) end secondary training.
21. The computer program of claims 19 or 20, wherein training includes echo cancellation training.
22. The computer program of claims 19 or 20, wherein training includes equalization training.
23. The computer program of claims 19 or 20, wherein the pre-connection user data is preceded by a synchronization prefix.
24. The computer program of claims 19 or 20, wherein the pre-connection user data is succeeded by a positive indicator.
25. The computer program of claims 19 or 20, wherein the pre-connection user data is pseudo-randomized before transmitting.
26. The computer program of claim 19, wherein the first data rate is about 6,000 bps.
27. The computer program of claim 2, wherein the second data rate is about 12,000 bps.

- 15 -

28. A method for enabling transmission of at least about 9,000 bits of user data at least one way over a V.34 compliant modem before completion of an essentially full data rate modem connection, comprising the steps of:

- 5 (a) beginning training of the modem at a training data rate, less than the full data rate, using a defined training sequence;
- (b) after a selected amount of training, transmitting pre-connection user data from the modem at up to the training data rate while continuing training of the modem;
- (c) ending training.

10 29. A system for enabling transmission of at least about 9,000 bits of user data at least one way over a V.34 compliant modem before completion of an essentially full data rate modem connection, comprising:

- (a) means for beginning training of the modem at a training data rate, less than the full data rate, using a defined training sequence;
- 15 (b) means for transmitting pre-connection user data from the modem at up to the training data rate after a selected amount of training, while continuing training of the modem;
- (c) means for ending training.

20 30. A computer program, residing on a computer-readable medium, for enabling transmission of at least about 9,000 bits of user data at least one way over a V.34 compliant modem before completion of an essentially full data rate modem connection, comprising instructions for causing a computer to:

- (a) begin training of the modem at a training data rate, less than the full data rate, using a defined training sequence;
- 25 (b) after a selected amount of training, transmit pre-connection user data from the modem at up to the training data rate while continuing training of the modem;
- (c) end training.

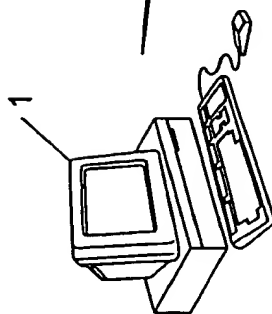
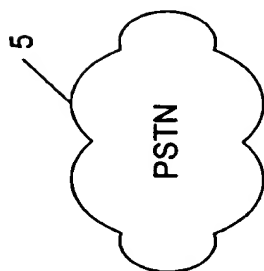
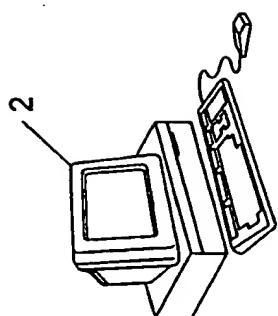


FIG. 1
(Prior Art)

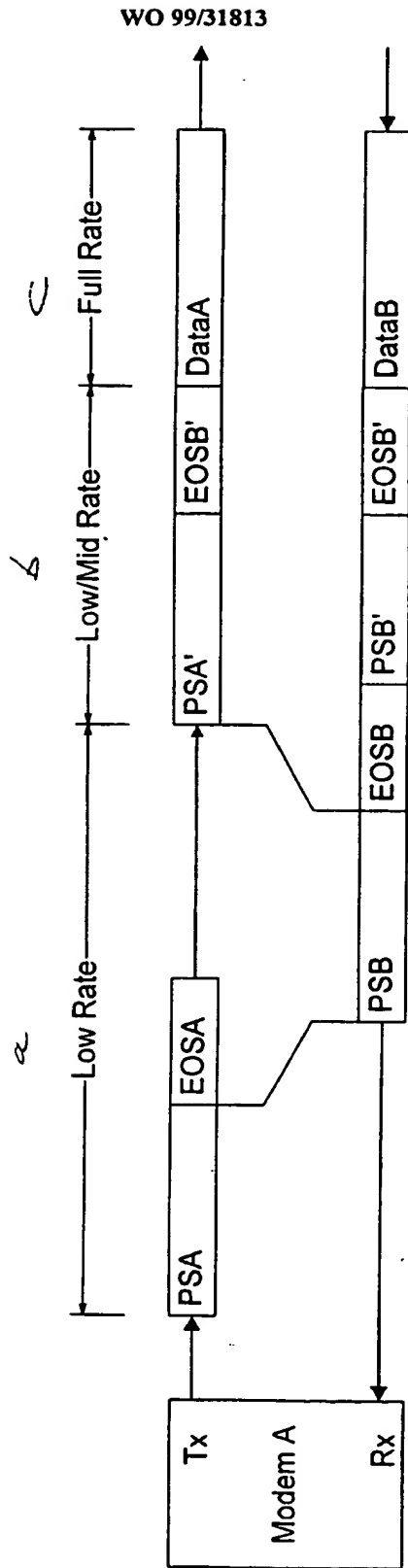


FIG. 2
(Prior Art)

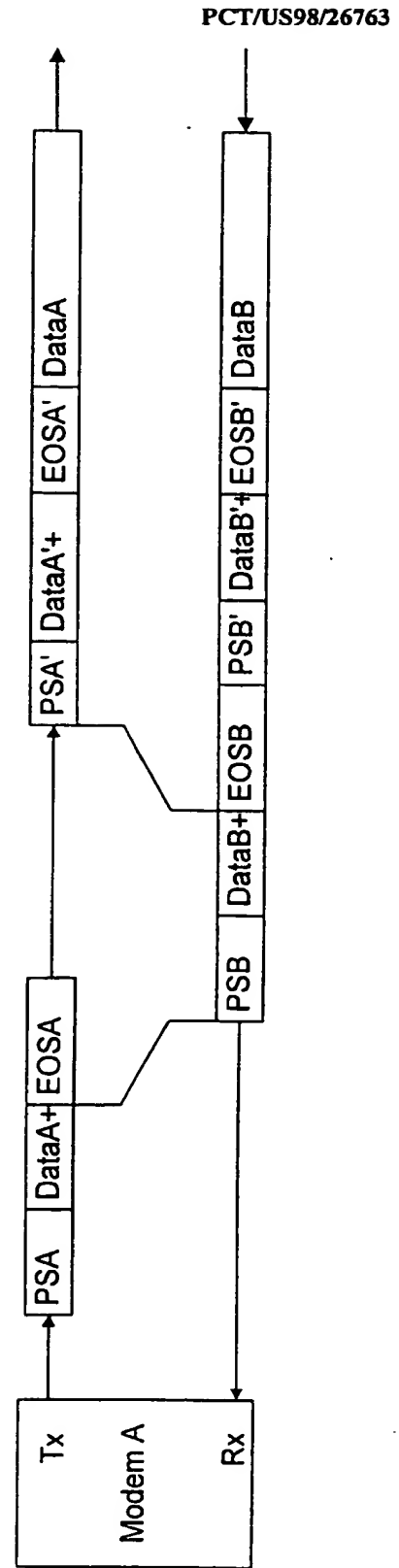
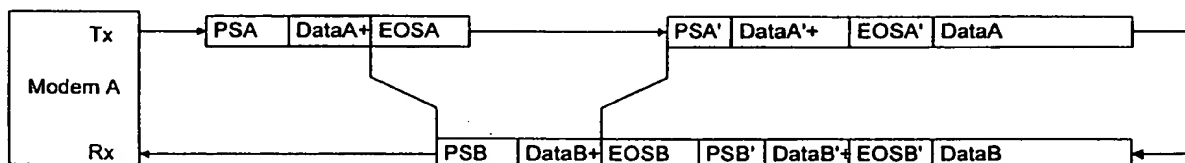


FIG. 3

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04B 1/38, H04L 7/10		A3	(11) International Publication Number: WO 99/31813
			(43) International Publication Date: 24 June 1999 (24.06.99)
(21) International Application Number: PCT/US98/26763		(81) Designated States: AU, CA, IL, JP, KR, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 16 December 1998 (16.12.98)			
(30) Priority Data: 08/991,313 16 December 1997 (16.12.97) US		Published With international search report.	
(71) Applicant: 3COM CORPORATION [US/US]; 5400 Bayfront Plaza, Santa Clara, CA 95052 (US).		(88) Date of publication of the international search report: 26 August 1999 (26.08.99)	
(72) Inventors: WILLIAMS, Richard, G., C.; 12939 Brome Way, San Diego, CA 92129 (US). ROSENLOF, John; 4233 Woodland Drive, La Mesa, CA 91941 (US).			
(74) Agent: LAND, John; Fish & Richardson P.C., Suite 1400, 4225 Executive Square, La Jolla, CA 92037 (US).			

(54) Title: SYSTEM AND METHOD FOR USER INFORMATION TRANSFER BEFORE MODEM CONNECTION**(57) Abstract**

A system and method for user information (DataA; DataB) transfer before modem connection. The invention makes high-speed modems more efficient, particularly for transaction and Internet applications, by providing for transmission of pre-connection user data (DataA+; DataA'+; DataB+; DataB'+) during modem training. A training sequence for a modem in accordance with the invention may be summarized as follows: beginning training of the modem at a first data rate using a defined training sequence (PSA; PSB); after a selected amount of training, transmitting pre-connection user data (DataA+; DataB) from the modem at up to the first data rate while continuing training of the modem; ending training (EOSA; EOSB); and enabling transmission of user data (DataA; DataB) at a full connected data rate of the modem. The invention may also include the following training sequence before enabling transmission of user data at the full connected data rate of the modem: beginning secondary training of the modem at a second data rate using a defined training sequence (PSA'; PSB'); after a selected amount of secondary training, transmitting pre-connection user data (DataA'+; DataB'+) from the modem at up to the second data rate while continuing secondary training of the modem; and ending secondary training (EOSA'; EOSB').

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/26763

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : H04B 1/38; H04L 7/10

US CL : 375/219, 222, 231, 366; 395/200.58, 200.78

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS: "V.34" and "train?"

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US 5,790,594 A (PENG) 04 August 1998, Fig. 2, Fig. 3 item 50, Fig. 4 items 60, 64, Fig. 5, col. 5 lines 8-41, col 1 lines 28-32, col. 2 lines 39-41, col. 3 lines 40-46, col. 5 lines 61-62.	1, 3 - 8, 10, 12 - 17, 28, 29;
---		-----
Y,P		2-7, 9, 11-16, 18-27, 30
A,E	US 5,852,631 A (SCOTT et al) 22 December 1998, Fig. 8 items 40, 42, 44, col. 13 lines 22-31.	1-30
Y	US 5,394,346 A (MILSOM) 28 February 1995, abstract, Fig. 9 with corresponding disclosure.	19-27, 30

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 28 MAY 1999	Date of mailing of the international search report 15 JUN 1999
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer <i>James R. Matthews</i> WILLIAM LUTHER Telephone No. (703) 308-6609

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/26763

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,P	US 5,751,701 A (LANGBERG et al) 12 May 1998, abstract, Fig. 3 items 100 and 108, Fig. 4 item 38, Fig. 10, col. 3 lines 33-48, col. 4 lines 40-41, col. 5 lines 4-11 and 46-50, and 54-57.	2-7,9,11-16,18,20-27
X,E	US 5,864,714 A (TAL et al) 26 January 1999, Fig. 4 and corresponding disclosure, col. 5 line 56 - col. 6 line 2.col. 8 lines 26-30.	1,3-8,10,12-17,28,29; -----
Y,E		2-7,9,11-16,18-27,30

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/26763

B. FIELDS SEARCHED

Minimum documentation searched

Classification System: U.S.

375/219, 222, 224, 225, 229, 230, 231, 232, 364, 365, 366, 367, 368; 333/28R, 28T; 364/724.2; 379/90.01, 93.01, 93.05, 93.06; 395/200.3, 200.38, 200.39, 200.4, 200.57, 200.58, 200.6, 200.61, 200.62, 200.63, 200.64, 200.78